

Summary sheet

Research title: Financial Risk Management in Securities companies in Vietnam
(case study of the Saigon Securities Inc.)

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Research objectives:

- Identifying the situation of risk management in securities companies, and the impact of risks to the securities companies in Vietnam.
- Applying for a specific case study of effective risk management in Saigon Securities Company.
- Offering recommendations to the Securities companies when applying risk management system for their business operations.

Content:

Beside the introduction, conclusion and list of references, the research topic consists of four chapters:

- Chapter 1: Securities companies and financial risk management in securities companies.
- Chapter 2: Situations of risk, financial losses and the application of risk management systems in the securities companies in Vietnam.
- Chapter 3: Case study applied systems of risk management in Saigon Securities Inc
- Chapter 4: Some recommendations to apply risk management system for securities companies in Vietnam.

Results obtained

Research findings:

- The study showed the financial loss which the Securities companies in Viet Nam have experienced because of a lack of risk management systems within the companies.
- Introducing an effective risk management system in Saigon Securities Inc (SSI)
- Recommending some solutions for the Securities companies in order to apply risk management systems effectively.